



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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10-12-02

Attorney Docket No. 072982/0182

Applicant: Motofumi KAKIUCHI  
Title: SYSTEM AND METHOD FOR HIGH-CAPACITY ELECTRONIC SWITCHING  
Serial No.: 09/325,427  
Filed: June 4, 1999  
Examiner: Unassigned  
Art Unit: 2731

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §1.56 and 37 CFR §1.97**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith on Form PTO SB/08 is a list of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR 1.97 and 1.98.

The submission of any documents herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a prima facie prior art reference against the claims of the present application.

**TIMING OF THE DISCLOSURE**

The instant Information Disclosure Statement is believed to be filed in accordance with 37 C.F.R. 1.97(b), prior to the mailing date of a first Office Action on the merits (first scenario). If that is not the case, such as in a second scenario in which a first Office Action on the merits has been mailed before the filing of the instant Information Disclosure Statement, then either a certification or fee is required, and a certification is provided below. If neither of the first or second scenarios is the case, such as if a final Office Action or a notice of allowance has been mailed by the PTO (third scenario), then both a certification and fee are required, and in that case a certification is provided below and also the PTO is authorized to obtain the necessary fee to have the instant IDS considered, from Foley & Lardner Deposit Account #19-0741.

**CERTIFICATION**

The undersigned hereby certifies in accordance with 37 C.F.R. §1.97(e)(1) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.

**RELEVANCE OF EACH DOCUMENT**

A portion of an Australian Office Action that issued August 28, 2002 with respect to a counterpart Australian patent application is provided below.

**"The invention defined in claims 1, 13, 24 and 30 is not novel when compared with the following document which discloses all the essential features of the invention claimed:  
RU2101872 (AJA COMPANJA VASAND) 10 January 1998, please see the abstract."**

The inclusion of assertions from the Australian Office Action in this Information Disclosure Statement should in no way be considered as an agreement by Applicant with, or an admission of, what is asserted in the Australian Office Action.

Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO SB/08 be returned in accordance with MPEP §609.

Respectfully submitted,

October 9, 2002  
Date

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